

Approval Proceedings of FC-XV of Uttar Pradesh (F.NoZ.15015/88/2021-NHM-I)

**F.No Z.15015/88/2021-NHM-I**  
**Government of India**  
**Ministry of Health & Family Welfare**

NirmanBhawan, New Delhi  
Dated the 08<sup>th</sup> December, 2021

To

Smt. Aparna Upadhyay  
Mission Director (NHM)  
Department (H&FW)  
Government of Uttar Pradesh  
Om Kailash Tower 19-A,  
Vidhan Sabha Marg,  
Lucknow – 226 001, UP

**Subject: Approval of Proposals for FC-XV Grants for Health for FY 2021-22 for the State of Uttar Pradesh– regarding**

Sir/Madam,

This is with reference to the proposals submitted by Government of Uttar Pradesh during presentation sent on 7<sup>th</sup> Oct, 2021 from email id ([secyhealth.up@gmail.com](mailto:secyhealth.up@gmail.com)) and vide DO letter no SPMU/Com Pro/CHWC/2019-20/88TC-2A/4544 regarding FC-XV Grants for Health for FY 2021-22.

2. After appraisal, the National Level Committee approved the proposals of the FC-XV Health Grants for FY 21-22 for the Uttar Pradesh for an amount of **1790.77Cr** against the proposals of Rs. **Rs.1790.99** Cr subject to the conditions mentioned in Para-3. Approval of the competent authority is hereby conveyed to the State of Uttar Pradesh for an amount of **1790.77Cr** under FC-XV for FY 2021-22 as given in Table - 1.

**Table 1: Summary of Proposal and Approvals under FC-XV Health Grants for FY 21-22**

(Rs in Lakhs)

Code	Activities	FC Health Grants for FY 21-22	Total Budget Proposed	Total Budget Approved
	Total FC-XV grants	182971.00	179099.29	179077.12
FR	Rural components			
FR.1	Building-less Sub Health Centres, PHCs, CHCs	33368.00	33368.00	33354.72

*File*



FR.2	Block Public Health Units	7653.00	7610.24	7610.24
FR.3	Support for diagnostic infrastructure to the primary healthcare facilities	53723	49905.99	49897
FR.4	Conversion of rural Sub Health Centres and PHCs to HWCs	38735.00	38733.75	38733.75
FU	Total Urban	49492	49481.31	49481.41
FU.1	Support for diagnostic infrastructure to the primary healthcare facilities	7037.00	7031.23	7031.33
FU.2	Urban health and wellness centres (HWCs)	42455.00	42450.08	42450.08

The detailed proposal and approval for FC-XV grants to Health for FY 2021-22 are given at **Annexure-1**.

3. The Approval is subject to the following conditions:

(a) Fund Utilization

- i. All the approved activities are to be completed in a time bound manner.
- ii. The State must ensure that the funds approved under XV FC are used ONLY for the approved activities and according to the guidelines.
- iii. All the conditionalities outlined under the Technical and Operational Guidelines dated 31<sup>st</sup> August, 2021, Operational Guidelines for implementation of the recommendations of the FC-XV on Health Sector grants contained in Chapter 7 of FC-XV Final Report issued by DoE dated 16<sup>th</sup> July, 2021, DoE addendum dated September 8<sup>th</sup>, 2021 are applicable to approvals for FY 2021-22.
- iv. State must strictly comply to the negative lists, which is specified for each of the components in the operational Guidelines. States shouldnot utilize the grants for the activities in the negative list.
- v. DoE has concurred with the proposal of DoHFW by way of a special dispensation, that the funds recommended by the FC-XV to a State for support to diagnostics infrastructure for the primary healthcare facilities under Sub centre, PHC and UPHC may be transferred by the State Finance department directly to the State Health Department or any other department authorised by the State level Committee (SLC) for procurement under this component” (vide addendum dated 8<sup>th</sup> September, 2021) The States may take a note of the same.
- vi. States should ensure that there is no duplication or overlap of proposals, tasks, procurements, constructions, hiring of HR etc. for which funds have already been provided under NHM, State budgets, any other funds.


(b) Monitoring and reporting of progress

- vii. The State/SLC will send the progress reports on both physical and financial progress against the approved plan on quarterly basis to the Ministry of Health and Family Welfare, Govt. of India.





- viii. State should regularly update the progress on the monthly basis in the NHM-PMS Portal for release of subsequent instalments of FC-XV grants to State.
- ix. Necessary UCs and documents, as prescribed by DoE, have to be submitted by the State
4. The DoE has been requested to take further actions towards release of FC-XV Health Grants through local Governments to your State vide letter no. 7 (20)/2020-NHM I dated 2<sup>nd</sup> December, 2021 (copy enclosed)
5. The conditions mentioned supra, would need to be meticulously adhered to by the State Government.

  
(Sachin Mittal)  
Director NHM I  
08.12.21

Copy to:

- Principal Secretary, Health, Government of Uttar Pradesh
- PPS to Secretary, DoE, GOI
- PPS to Secretary, MOHFW, GOI
- PPS to AS & MD, NHM
- PPS to Secretary, MoRD, GOI
- PPS to Secretary, MoHUA, GOI